

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John F. Engelhardt et al.

Title: ADENO-ASSOCIATED VIRUS VECTORS

Docket No.: 875.007US2

Filed: January 22, 2002

Examiner: David Guzo

Serial No.: 10/054,665

Due Date: September 16, 2004

Group Art Unit: 1636



**Mail Stop Amendment**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

- ☒ A return postcard.
- ☒ Amendment and Response Under 37 CFR 1.111 (13 Pages).
- ☒ Copy of Preliminary Amendment filed 06/10/2002 (6 pgs.).
- ☒ A Communication Concerning Related Applications (1 pg.).
- ☒ A Supplemental Information Disclosure Statement (2 pgs.), Form 1449 (3 pgs.), copies of 41 cited documents, and copy of International Search Report (4 pgs).
- ☒ A check in the amount of \$180. for consideration of SIDS.
- ☒ Sequence Listing (4 pgs.).
- ☒ Photocopy of sequence listing disk and transmittal filed on even date herewith (2 pgs.).
- ☒ Terminal Disclaimer (3 pgs.).
- ☒ Check for Terminal Disclaimer fee of \$55.00.
- ☒ 42 Sheets of Substitute Drawings. *ch*

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

By:   
Atty: Janet E. Embretson  
Reg. No. 39,665

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 16th day of September, 2004.

**CANDIS BUENDING**

Name

Signature

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

(GENERAL)

The PTO did not receive the following listed items(s) The disk we got only sequence listing

S/N 10/054,665

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: John F. Engelhardt et al.

Examiner: David Guzo

Serial No.: 10/054,665

Group Art Unit: 1636

Filed: January 22, 2002

Docket No.: 875.007US2

Title: ADENO-ASSOCIATED VIRUS VECTORS

---

**AMENDMENT AND RESPONSE UNDER 37 CFR § 1.111**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

This responds to the Office Action mailed on June 16, 2004. Please amend the above-identified patent application as follows.